(I)(a) <u>Statement showing Shareholding Pattern</u>

| Name of the Compa | nv: ASIAN TEA & | EXPORTS LIMITED | |
|--|-------------------------------------|--|---|
| Scrip Code: | | | |
| Name of the scrip: | | | |
| class of security: Ec | uity Shares of Rs.1 | .0/- each. | |
| Quarter ended : 30. | | | |
| Partly paid-up | No. of partly | As a % of total no. of partly paid-up | As a % of total no. of shares |
| shares | paid-up shares | Shares | |
| Held by | | | |
| promoter/promoter | | | |
| group | N-I-L | N-I-L | N-I-L |
| Held by public | N-I-L | N-I-L | N-I-L |
| Total | - | N-I-L | - |
| Outstanding convertible securities:- | No. of outstanding securities | As a % of total no. of outstanding convertible securities | As a % of total no. of shares of the company, assuming full conversion of the convertible securities |
| Held by promoter/promoter | | | |
| group | N.A. | N.A. | N.A. |
| Held by public | N.A. | N.A. | N.A. |
| Total | N.A. | N.A. | N.A. |
| Warrants:- | No. of warrants | As a % of total no. of warrants | As a % of total no. of shares of the company, assuming full conversion of Warrants |
| Held by promoter/promoter | | | |
| group | N.A. | N.A. | N.A. |
| Held by public | N.A. | N.A. | N.A. |
| Total | N.A. | N.A. | N.A. |
| Total paid-up capital of the company, assuming full conversion of warrants and convertible securities | | 1000000 | |

| Cate- | Category of | Number | Total | Number | Total share | holding as | Share | s pledged |
|-------------|--|-----------------|---------------|----------------|------------------------|--------------------------|---------------|--------------------------|
| gory | shareholders | of Shareholders | number | of shares | a percentage of total | | | herwise |
| Code | | | of shares | held in | number o | f shares | encu | mbered |
| | | | | demateri | As a | As a | Number of | As a |
| | | | | alized form | percentage of (A+B) | percentage of (A+B+C) | Shares | percentage |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) | (VIII) | (IX)= (VIII)/(IV)*100 |
| (A) | Promoter and Promoter Group | | | | | | | |
| (1) | Indian | | | | | | | |
| (a) | Individuals/ Hindu Undivided | _ | 2404000 | 2404000 | 24.07 | 24.05 | NTT | |
| (1) | Family | 7 | 2404800 | 2404800 | 24.05 | 24.05 | N-I-L | N-I-L |
| (b) | Central Government/ | NT T T | NT T T | NT T T | NT T T | NT T T | NT T T | NT T T |
| | State Government(s) | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| (c) | Bodies Corporate | 5 | 3579245 | 3579245 | 35.79 | 35.79 | N-I-L | N-I-L |
| (d) | Financial Institutions/ | NT T T | NT T T | NT T T | NT T T | | NT T T | NT T T |
| | Banks | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| (e) | Any other | | | | NT T T | NT T T | | |
| | (specify) | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| | Sub-Total (A) (1) | 12 | 5,984,045 | 5,984,045 | 59.84 | 59.84 | N-I-L | N-I-L |
| (2) | Foreign | | | | | | | |
| (a) | Individuals(Non- | | | | | | | |
| | Resident individuals/ | | | | | | | |
| (-) | Foreign Individuals) | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| (b) | Bodies Corporate | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| (c) | Institutions | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N·I·L | N-I-L |
| (d) | Any other | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| | (specify) | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| | Sub-Total (A) (2) | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| | Total Shareholding of Promoter and Promoter Group (A) = (A)(1)+(A)(2) | 12 | 5,984,045 | 5,984,045 | 59.84 | 59.84 | N-I-L | N-I-L |
| (B) | Public Shareholding | | | | | | N.A. | N.A. |
| (1) | Institutions | | | | | | N.A. | N.A. |
| (a) | Mutual Funds/ | | | | | | N.A. | IN.A. |
| (u) | UTI | 2 | 14700 | N-I-L | 0.15 | 0.15 | | |
| (b) | Financial Institutions/ | | 11100 | | 0.10 | 0.10 | | |
| | Banks | N-I-L | N-I-L | N-I-L | 0.00 | 0.00 | | |
| (c) | Central Government/ | | | | 0.00 | 0.00 | | |
| (0) | State Government(s) | N-I-L | N-I-L | N-I-L | N-IL | N-I-L | | |
| (d) | Venture Capital | | 11 I L | | 1111 | | | |
| (4) | Funds | N-I-L | N-I-L | N-I-L | N-IL | N-I-L | | |
| (e) | Insurance | | | | | | | |
| (0) | Companies | N-I-L | N-I-L | N-I-L | N-IL | N-I-L | | |
| (f) | Foreign Institutional | | | | | ., | | 1 |
| (1) | Investors | N-I-L | N-I-L | N-I-L | N-IL | N-I-L | | |
| (g) | Foreign Venture | | | | | ., | | 1 |
| <i>י</i> ھי | Capital Investors | N-I-L | N-I-L | N-I-L | N-IL | N-I-L | | |
| (h) | Any Other | | | | 1111 | | | 1 |
| (11) | (specify) | N-I-L | N-I-L | N-I-L | N-IL | N-I-L | | |
| | Sub-Total (B) (1) | 2 | 14,700 | 0 | 0.15 | 0.15 | N.A. | N.A. |

| (2) | Non-Institutions | | | | | | N.A. | N.A. |
|-----|--|-------|------------|-----------|--------|--------|-------|------|
| (a) | Bodies Corporate | 151 | 1047631 | 1031631 | 10.47 | 10.47 | | |
| (b) | Individuals - i. Individual shareholders holding nominal share capital upto Rs.1 lakh | 12282 | 2288898 | 1333864 | 22.89 | 22.89 | | |
| | ii. Individual shareholders holding nominal share capital in excess of | | | | | _ | | |
| | Rs. 1 lakh | 25 | 550944 | 520744 | 5.51 | 5.51 | | |
| (c) | Qualified Foreign | | | | | | | |
| | Investor | | | | | | | |
| | | N-I-L | N-I-L | N-I-L | N-IL | N-I-L | | |
| (d) | Any Other | | | | | | | |
| | NRI | 14 | 113782 | 113782 | 1.14 | 1.14 | | |
| | Foreign Companies | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | | |
| | Sub-Total (B)(2) | 12472 | 4,001,255 | 3,000,021 | 40.01 | 40.01 | N.A. | N.A. |
| | Total Public Shareholding B = (B)(1)+(B)(2) | 12474 | 4,015,955 | 3,000,021 | 40.16 | 40.16 | NA | NA |
| | TOTAL (A) + (B) | 12486 | 10,000,000 | 8,984,066 | 100.00 | 100.00 | N-I-L | NA |
| (C) | Shares held by Custodians and against which Depository Receipts have | | | | | | | |
| | been issued | N-I-L | N-I-L | N-I-L | N.A. | N-I-L | N.A. | N.A. |
| (1) | Promoter & | | | | | | | |
| | Promoter group | N-I-L | N-I-L | N-I-L | N.A. | N-I-L | N.A. | N.A. |
| (2) | Public | N-I-L | N-I-L | N-I-L | N.A. | N-I-L | N.A. | N.A. |
| | $\begin{array}{c} \text{GRAND TOTAL} \\ \text{(A)} + \text{(B)} + \text{(C)} \end{array}$ | 12486 | 10,000,000 | 8,984,066 | 100.00 | 100.00 | N.A. | N.A. |

| Sr.No. | Name of the shareholder | Details of share | es held | Encur | nbered shares | | Details | of Warrants | Details of Conv | ertible securities | Total Shares (including |
|--------|-------------------------------------|----------------------------|--------------------------------|--------|--------------------|---|----------------------------|---|--|---|---|
| | | No. of shares held held | As a % of grand Total | Number | As a percentage | As a % of grand total A+B+C of subclause | No. of warrants held | As a % of total no. of warrants of the same class | No. of Convertible securities held | As a % of total no. of convertible securities of the same class | underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
| | | | (A+B+C) | | | (I)(a) | | | | | |
| | | | | | (VI)=(V)/ | | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (III)*100 | (VII) | (VIII) | (IX) | (X) | (XI) | (XII) |
| 1 | SUNIL GARG | 500,000 | 5.00 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 2 | HARI RAM GARG | 725,000 | 7.25 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 3 | RAMA GARG | 175,000 | 1.75 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 4 | RAJESH GARG | 320,000 | 3.20 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 5 | SITA GARG | 425,000 | 4.25 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 6 | NISHA GARG | 250,100 | 2.50 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 7 | CHHATAR SINGH SURANA | 9,700 | 0.10 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 8 | ASIAN CAPITAL MARKETS LTD | 1,507,702 | 15.08 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 9 | KANCHAN WOOD PRODUCTS PVT LTD | 593,163 | 5.93 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 10 | MAHARAJA BARTER PVT LTD | 250,000 | 2.50 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 11 | GREENEX CHEMICALS PRIVATE LTD | 658,480 | 6.58 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 12 | ASIAN HOUSING CONSTRUCTIONS PVT LTD | 569,900 | 5.70 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| | TOTAL | 5,984,045 | 59.84 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |

| (I) (b) | Statement showing holding | of securities (including shares | , warrants, convertible securities | s) of persons belonging to the category |
|---------|---------------------------|---------------------------------|------------------------------------|---|
| | | | | |

"Promoter and Promoter Group"

(I)(c)(i) Statement showing holding of securities (including shares, warrants, convertible securities) of persons belonging to the category "Public" and holding more than <u>1% of the total number of shares</u>

| Sr. No. | Name of the shareholder | No. of shares held | Shares as a percentage of total number of shares {i.e. Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above} | No. of | As a % of total no. of warrants of the same class | sec No. of convertible | | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|------------|--------------------------------|--------------------|---|--------|---|------------------------------|-------|---|
| 1 | CARAVAN VINIMAY PRIVATE LTD | 283452 | 2.83 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 2 | PATHIK AGENCIES LTD | 154716 | 1.55 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| 3 | ADYAMAA TRACOM PRIVATE LIMITED | 133333 | 1.33 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |
| | TOTAL | 571,501 | 5.72 | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L |

(I)(c)(ii) <u>Statement showing holding of securities (including shares, warrants, convertible securities) of persons (together with PAC) belonging to the category "Public"</u> <u>holding and more than 5% of the total number of shares of the Company</u>

| Sr. | Name(s) of the shareholder(s) and the Persons Acting in Concert (PAC) with them | No. of shares | Shares as a percentage of total number of shares {i.e. Grand Total (A)+(B)+(C) indicated in statement at para (I)(a) above} | | Details of Warrants | | | | of convertible curities | Total shares (including underlying shares assuming full conversion of warrants and convertible securities) as a % of diluted share capital |
|-----|--|---------------|---|----------|---------------------|------------------------------|------------------------------|-------|----------------------------|---|
| No. | | | | No. of | As a % of | | % w.r.t. total | | | |
| | | | | warrants | | $\operatorname{convertible}$ | | | | |
| | | | | | warrants of | securities | $\operatorname{convertible}$ | | | |
| | | | | | the same | held | securities of the | | | |
| | | | | | class | | same class | | | |
| 1 | | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | | |
| | TOTAL | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | N-I-L | | |

(I) (d) Statement showing details of locked-in shares

| Sr. | Name of the shareholder | Number of | Locked-in Shares as percentage of total |
|-----|------------------------------|-----------|---|
| No. | | locked-in | number of shares {i.e. Grand Total |
| | | shares | (A)+(B)+(C) indicated in Statement at |
| | | | para (l)(a) above} |
| | ASIAN CAPITAL MARKETS LTD | 300000 | 3.00 |
| | KANCHAN WOOD PRODUCTS PVT L | 300000 | 3.00 |
| | GREENEX CHEMICALS PRIVATE LT | 300000 | 3.00 |
| | ASIAN HOUSING CONSTRUCTIONS | 230000 | 2.30 |
| | TOTAL | 1,130,000 | 11.30 |

(ll)(a) <u>Statement showing details of Depository Receipts (DRs)</u>

| Sr No | | 01 | outstanding DRs | shares underlying outstanding | Shares underlying outstanding DRs as a percentage of total number of shares {i.e. Grand Total (A)+(B)(C) indicated in Statement at para (l)(a) |
|----------|---|-------|--------------------|-------------------------------------|---|
| _ | 1 | | | DRs | above}. |
| F | 2 | | | | |
| | | TOTAL | N-I-L | N-I-L | N-I-L |

(ll)(b) <u>Statement showing Holding of Depository Receipts (DRs), where underlying shares</u> <u>held by 'Promoter /Promoter group' are in excess of 1% of the total number of shares.</u>

| Sr. | Name of the | Type of | Number of | Shares underlying outstanding DRs |
|-----|-------------|---------|------------|--|
| No. | DR Holder | , | underlying | as a percentage of total number of shares {i.e. Grand Total (A)+(B)(C) indicated in Statement at para (l)(a) |
| | | etc.) | 0 | above}. |
| 1 | | | | |
| 2 | | | | |
| | TOTAL | N-I-L | N-I-L | N-I-L |

(III) (a) Statement showing the voting pattern of Shareholders, if more than one class of shares or securities issued by the issuer.

(Give description of voting right of each class of securities)

The Company has issued single class of Equity shares of Rs.10/- each as such submission of details of voting rights of different classes of securities are not applicable.